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TRANSMITTAL FORM

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Total Number of Pages in This Submission

19

Application Number	10/603,539
Filing Date	06/25/2003
First Named Inventor	Stephen Penrice
Art Unit	3711
Examiner Name	Corbett B. Coburn
Attorney Docket Number	49673.21800US

ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): PTO/SB/08A; IDS Pursuant to 37 CFR Sec. 1.56, Postcard; Check for \$180.00
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Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	Carlton Fields, P. A.		
Signature			
Printed name	Lance D. Reich		
Date	06/28/2006	Reg. No.	42,097

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:

Stephen Penrice

Serial No. 10/603,539

Filed: 06/25/2003

Examiner: Corbett B. Coburn

Group: 3711

Title: Methods and Apparatus For Providing a Lottery Game

INFORMATION DISCLOSURE STATEMENT

June 28, 2006

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

SIR:

Submitted herewith, on Form PTO/SB/08A, is a listing of documents known to applicants and/or their attorneys in compliance with the requirements of CFR §1.56.

Applicant has recently come into possession of many of these references.

Consideration of the cited documents and making the same of record in the prosecution of the above-referenced application are respectfully requested.

Respectfully submitted,

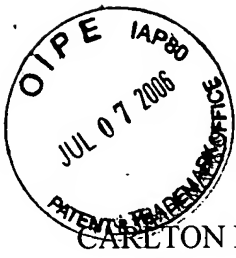
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Lance D. Reich
Registration No. 42,097
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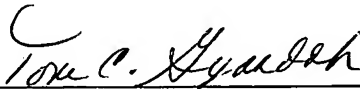
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June 28, 2006

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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 8

of 15

Complete if Known

Application Number	10/603,539
Filing Date	06/25/2003
First Named Inventor	Stephen Penrice
Art Unit	3711
Examiner Name	Corbett B. Coburn
Attorney Docket Number	49673.21800

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US- 5,621,200	04-15-1997	Irwin et al.	
		US- 5,628,684	05-13-1997	Bouedec	
		US- 5,630,753	05-20-1997	Fuchs	
		US- 5,651,735	07-29-1997	Baba	
		US- 5,655,961	08-12-1997	Acres et al.	
		US- 5,667,250	09-16-1997	Behm et al.	
		US- 5,682,819	11-04-1997	Beatty	
		US- 5,690,366	11-25-1997	Luciano	
		US- 5,704,647	01-06-1998	Desbiens	
		US- 5,722,891	03-03-1998	Inoue	
		US- 5,726,898	03-10-1998	Jacobs	
		US- 5,732,948	03-31-1998	Yoseloff	
		US- 5,741,183	04-21-1998	Acres et al.	
		US- 5,743,800	04-28-1998	Huard et al.	
		US- 5,752,882	05-19-1998	Acres et al.	
		US- 5,756,220	05-26-1998	Hoshino et al.	
		US- 5,768,142	06-16-1998	Jacobs	
		US- 5,769,458	06-23-1998	Carides et al.	
		US- 5,770,533	06-23-1998	Franchi	

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Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
		EP1149712A1	10-31-2001	Dung et al.		
		EP0798676A1	10-01-1997	Adams		
		EP0799649A1	10-08-1997	Benz		
		EP0874337A1	10-28-1998	Demar et al.		
		GB2075918A	11-25-1981	Rocklin		
		GB3328311	02-17-1999	Randall		

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Sheet 9

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First Named Inventor	Stephen Penrice
Art Unit	3711
Examiner Name	Corbett B. Coburn
Attorney Docket Number	49673.21800

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		Number-Kind Code ² (if known)			
		US- 5,772,509	06-30-1998	Weiss	
		US- 5,772,510	06-30-1998	Roberts	
		US- 5,772,511	06-30-1998	Smeltzer	
		US- 5,779,840	07-14-1998	Boris	
		US- 5,789,459	08-04-1998	Inagaki et al.	
		US- 5,791,990	08-11-1998	Schroeder et al.	
		US- 5,797,794	08-25-1998	Angell	
		US- 5,803,504	09-08-1998	Deshiens et al.	
		US- 5,816,920	10-06-1998	Hanai	
		US- 5,818,019	10-06-1998	Irwin Jr. et al.	
		US- 5,820,459	10-13-1998	Acres et al.	
		US- 5,823,874	10-20-1998	Adams	
		US- 5,830,063	11-03-1998	Byrne	
		US- 5,830,066	11-03-1998	Goden et al.	
		US- 5,830,067	11-03-1998	Graves et al.	
		US- 5,833,537	11-10-1998	Barrie	
		US- 5,835,576	11-10-1998	Katz et al.	
		US- 5,836,086	11-17-1998	Elder	
		US- 5,836,817	11-17-1998	Acres et al.	

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		Country Code ³ *Number ⁴ *Kind Code ⁵ (if known)				
		GB642892A	09-13-1950			
		JP02235744				
		JP04132672				
		AU-A-50327/96	02-10-1997	Adams		
		AU-B-52499/96	02-06-1997	Taklis		
		529535	06-21-1983	Herranz		

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Sheet 7

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Application Number	10/603,539
Filing Date	06/25/2003
First Named Inventor	Stephen Penrice
Art Unit	3711
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		Number-Kind Code ² (if known)			
		US- 5,451,052	09-19-1995	Behm et al.	
		US- 5,453,602	10-10-1995	Sakuma	
		US- 5,456,465	10-10-1995	Durham	
		US- 5,471,039	11-28-1995	May	
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		US- 5,513,846	05-07-1996	Niederlien et al.	
		US- 5,528,154	06-18-1996	Leichner et al.	
		US- 5,536,016	06-16-1996	Thompson	
		US- 5,540,442	07-30-1996	Orselli et al.	
		US- 5,548,110	08-20-1996	Storch et al.	
		US- 5,550,746	08-27-1996	Jacobs	
		US- 5,591,956	01-07-1997	Longacre Jr. et al.	
		US- 5,599,046	02-04-1997	Behm et al.	
		US- 5,560,610	10-01-1996	Behm et al.	
		US- 5,564,700	10-15-1999	Celona	
		US- 5,564,977	10-15-1996	Algie	
		US- 5,602,381	02-11-1997	Hoshino et al.	

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		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
		EP0914875A2	05-12-1999	Horibe et al.		
		EP0914875A3	05-12-1999	Horiba et al.		
		EP0919965A2	06-02-1999	Bittman et al.		
		EP0896304A2	02-10-1999	Mastera et al.		
		EP0983801A2	03-08-2000	Ueno et al.		
		EP0983801A3	03-14-2001	Ueno et al,		

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Examiner Name	Corbett B. Coburn
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		Number-Kind Code ² (if known)			
		US- 5,273,281	12-28-1993	Lovell	
		US- 5,276,980	01-11-1994	Carter et al.	
		US- 5,282,620	02-01-1994	Keese	
		US- 5,308,992	05-03-1994	Crane et al.	
		US- 5,317,135	05-31-1994	Finocchio	
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		US- 5,332,219	07-26-1994	Marnell II et al.	
		US- 5,342,047	08-30-1994	Hiedel et al.	
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		US- 5,420,406	05-30-1995	Izawa et al.	
		US- 5,432,005	07-11-1995	Tanigami et al.	

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		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
		AU-A-45403/97	04-06-1998	Bennett		
		DE19646956C1	05-20-1998	Bauer et al.		
		DE2938307C3	08-08-1990	Uimonen et al.		
		EP0122902B1	04-12-1984	Uimonen et al.		
		EP0333934A1	09-27-1989	Hirahara		
		EP0458623	11-27-1991	Kabushiki		

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		US- 5,092,598	03-03-1992	Kamille	
		US- 5,094,458	03-10-1992	Kamille	
		US- 5,100,139	03-31-1992	Di Bella	
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		US- 5,118,109	06-02-1992	Gumina	
		US- 5,119,295	10-27-1992	Kapur	
		US- 5,158,293	10-27-1992	Mullins	
		US- 5,165,967	11-24-1992	Theno et al.	
		US- 5,186,463	02-16-1993	Marin et al.	
		US- 5,189,292	02-23-1993	Batterman et al.	
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		US- 5,228,692	07-20-1993	Carrick et al.	
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		US- 5,234,798	08-10-1993	Heninger et al.	
		US- 5,249,801	10-05-1993	Jarvis	
		US- 5,259,616	11-09-1993	Bergmann	

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		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
		29803107U1	08-13-1988	Geitz et al.		
		29816453U1	04-15-1999	Sturm et al.		
		DE3035898A1	04-08-1982	Gauselmann		
		DE3035947A1	05-06-1982	Gauselmann		
		DE3415114A1	10-31-1995	Stracke A.		
		DE3822636A1	01-11-1992	Becker et al.		

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Sheet 4

of 15

Complete if Known

Application Number	10/603,539
Filing Date	06/25/2003
First Named Inventor	Stephen Penrice
Art Unit	3711
Examiner Name	Corbett B. Coburn
Attorney Docket Number	49673.21800

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US- 4,837,728	06-06-1989	Barrie et al.	
		US- 4,856,787	08-15-1989	Itkis	
		US- 4,861,041	08-29-1989	Jones et al.	
		US- 4,870,260	09-26-1989	Niepolomski et al.	
		US- 4,880,964	11-14-1989	Donahue	
		US- 4,888,244	12-26-1989	Klinge	
		US- 4,922,522	05-01-1990	Scanlon	
		US- 4,943,090	07-24-1990	Fienberg	
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		US- 4,964,642	10-23-1990	Kamille	
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		US- 4,998,199	03-05-1991	Tashiro et al.	
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		US- 5,046,737	09-10-1991	Fienberg	
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		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
		GB2222712B	03-14-1990	Wain		
		GB2230373A	10-17-1990	Lees		
		GB2295775A	12-06-1996	Su et al.		
		GB23282311A	02-17-1999	Randall		
		DE2938307C2	04-09-1981	Gauselmann		
		DE2938307C3	06-24-1982	Gauselmann		

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Sheet 3

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Application Number	10/603,539
Filing Date	06/25/2003
First Named Inventor	Stephen Penrice
Art Unit	3711
Examiner Name	Corbett B. Coburn
Attorney Docket Number	49673.21800

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		Number-Kind Code ² (if known)			
		US- 4,544,184	10-01-1985	Freund et al.	
		US- 4,579,371	04-01-1986	Long et al.	
		US- 4,591,189	05-27-1986	Holmen et al.	
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		US- 4,689,742	08-25-1987	Troy et al.	
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		US- 4,775,155	10-04-1988	Lees	
		US- 4,792,667	12-20-1988	Chen	
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		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
		DE19706286A1	05-07-1998	Schattauer		
		DE19751746A1	05-27-1999	Becker et al.		
		AU-199716432B2	09-25-1997	Baerlocher et al.		
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		2006401	04-16-1989	Lao		
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Sheet 2

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Application Number	10/603,539
Filing Date	06/25/2003
First Named Inventor	Stephen Penrice
Art Unit	3711
Examiner Name	Corbett B. Coburn
Attorney Docket Number	49673.21800

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		Number-Kind Code ² (if known)			
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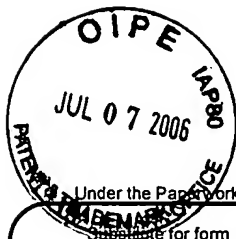
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
		WO 98/03910	01-29-1998	Carroll et al.		
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Sheet 1 of 15

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First Named Inventor	Stephen Penrice
Art Unit	3711
Examiner Name	Corbett B. Coburn
Attorney Docket Number	49673.21800

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		WO85/02250A1	05-23-1985	Uimonen, et al.		
		WO00/00256	01-06-2000	Tracy et al.		
		WO00/78418 A1	12-28-2000	Tracy et al.		
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		WO02/056266A1	07-18-2002	Cook		
		WO91/17529	11-14-1991	Schmidt et al.		

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Sheet 10

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Application Number	10/603,539
Filing Date	06/25/2003
First Named Inventor	Stephen Penrice
Art Unit	3711
Examiner Name	Corbett B. Coburn
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		US- 5,979,894	11-09-1999	Alexoff	
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		DE3822636A1	01-11-1990	Becker		
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Sheet 12

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Application Number	10/603,539
Filing Date	06/25/2003
First Named Inventor	Stephen Penrice
Art Unit	3711
Examiner Name	Corbett B. Coburn
Attorney Docket Number	49673.21800

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US- 6,168,521	01-02-2001	Luciano et al.	
		US- 6,168,522	01-02-2001	Walker et al.	
		US- 6,179,710	01-30-2001	Sawyer et al.	
		US- 6,206,373	03-27-2001	Garrod	
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		US- 6,379,742	04-30-2002	Behm et al.	
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		US- 6,676,126	01-13-2004	Walker et al.	
		US- 6,692,354	02-17-2004	Tracy et al.	
		US- 6,702,047	03-09-2004	Huber	
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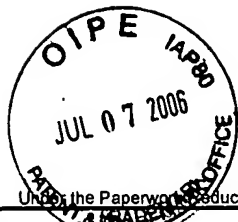
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Sheet 1 of 2

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		'Are You In?', (Article),	
		'Beginner's Guide-How To Bet', (www.plimico.com/How+to+wager/beginnersguide/), (Internet Article), 3 Pgs.	
		CHIP BROWN, 'Austin American-Statesman', (Article), May 28, 1998, 2 Pgs., Texas	
		JOHN C. HALLYBURTON, Jr., 'Frequently Asked Questions About Keno', (Internet Article), 1995, 1998, 10 Pgs., (http://conielco.com/faq/keno.html)	
		'Horse betting Tutorial-Types of Bets' (www.homepokergames.com/horsebettingtutorial.php), (Internet Article), 2 Pgs.	
		JUDITH GAINES, 'Pool Party Betting Business Booming Throughout Area Workplaces', (Internet Article), March 19, 1994, 2 Pgs., Issue 07431791, Boston Globe, Boston, MA	
		'Maryland Launches Let It Ride', (Internet Article), Circa 2001, 1 Pg.	
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		'Oregon Lottery', (Internet Article), April 30, 2004, 9 Pgs., Oregon Lottery Web Center, (http://www.oregonlottery.org/general/g_hist.shtml)	
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